

TRANSMITTAL UNDER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

994

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

09/937263

INTERNATIONAL APPLICATION NO.

PCT/US00/11304

INTERNATIONAL FILING DATE

27 April 2000

PRIORITY DATE CLAIMED

28 April 1999

TITLE OF INVENTION

Storage System

APPLICANT(S) FOR DO/EO/US

ASHTON, Kevin John

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application was filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☒ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

"Express Mail" mailing label number

Date of Deposit

I hereby certify that this paper/fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Assistant Commissioner of Patents, Washington, D.C. 20231.

Administrator Mailing Application

Signature

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C16 Rec'd PCT/PTO SEP 24 2001

ATTORNEY'S DOCKET NUMBER

CM2094

CALCULATIONS PTO USE ONLY

ENTER APPROPRIATE BASIC FEE AMOUNT = \$710

Surcharge of \$130.00 for furnishing the oath or declaration later than  
☐ 20 ☐ 30 months from the earliest claimed priority date  
 (37 CFR 1.492(e)).

\$0

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total Claims	11-20 =	0	x \$18.00
Independent Claims	1-3 =	0	x \$80.00

\$0

\$0

MULTIPLE DEPENDENT CLAIM(S) (if applicable)

\$270.00

\$0

TOTAL OF ABOVE CALCULATIONS = \$710

Processing fee of \$130.00 for furnishing the English translation later than  
☐ 20 ☐ 30 months from the earliest claimed priority date  
 (37 CFR 1.492(f)).

\$0

TOTAL NATIONAL FEE = \$710

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The  
 assignment must be accompanied by an appropriate cover sheet (37 CFR  
 3.28,3.31). \$40.00 per property +

\$0

TOTAL FEES ENCLOSED = \$710

Amount to be  
refunded

\$

charged

\$

- a. ☐ A check in the amount of \$ \_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 16-2480 in the amount of \$ 710 to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or  
credit any overpayment to Deposit Account No. 16-2480. A duplicate copy of this sheet is  
enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive  
 (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

M. P. Fitzpatrick, Patent Attorney  
 Customer Number 27752

Signature

T. David Reed

Name

32,931

Registration Number